Receipt date: 04/13/2009

Sheet <u>1</u> of <u>1</u>

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 17146-0007001	Application No. 10/826,630	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stephen K. Pinto et al.		
		Filing Date April 16, 2004	Group Art Unit 2123	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	2003/0088565	05/2003	Walter et al.			
	2	2004/0030667	02/2004	Xu et al.			
	3	6,026,397	02/2000	Sheppard			
	4	6,684,208	01/2004	Kil et al.			
	5	6,836,773	12/2004	Tamayo et al.			
	6	7,499,897	03/03/2009	Pinto et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	ID.	Number	Date	Patent Office	Class	Subclass	Yes	No

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Desig		Document				
	7	Tashman, "Automatic Forecasting Software: A Survey and Evaluation," International Journal of Forecasting, 7(2) (1991)				
	8	Wang et al., "An Expert System for Forecasting Model Selection," IEEE (1992)				
	9	New technology Card News, 10(6) (April 3, 1995)				
	10	Pinto, "Smart Data Use Can Improve Bank Insurance Marketing National Underwriter," 106(20) (July 2002)				
	Autobox 5.0 for Windows - User's Guide, Automatic Forecasting Systems, Inc. (December 10, 1999)					
	SmartSoftware Web Pages (www.smartcorp.com), Smart Software Inc., (July 2001) (Retrieval) Archive.org December 20, 2005)					
	13	ForecastPro Product Brochure (www.forecastpro.com), Business Forecasting Systems (2000), (Retrieved from Archive.org December 20, 2005)				
	14	PAIR Transaction History for U.S. Application Serial No. 10/826,711, filed on 4/16/2004				
	15	PAIR Transaction History for U.S. Application Serial No. 10/826,950, filed on 4/16/2004				
16 PAIR Transaction History for U.S. Application Serial No. 10/826;		PAIR Transaction History for U.S. Application Serial No. 10/826,949, filed on 4/16/2004				
	17	PAIR Transaction History for U.S. Application Serial No. 10/826,452, filed on 4/16/2004				
	18	PAIR Transaction History for U.S. Application Serial No. 10/826,947, filed on 4/16/2004				
	19	PAIR Transaction History for U.S. Application Serial No. 10/826,453, filed on 4/16/2004				

Examiner Signature	/Juan Ochoa/	Date Considered 06/18/2009					
	KAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with ext communication to applicant.						